



PECB Certified ISO 37001 Lead Auditor

Master the Audit of Anti-bribery Management Systems (ABMS) based on ISO 37001

Why should you attend?

The PECB Certified [ISO 37001](#) Lead Auditor training course provides the necessary knowledge and skills that enable you to perform anti-bribery management system (ABMS) audits by applying widely recognized audit principles, procedures, and techniques.

Many organizations seek competent auditors to determine whether the policies and anti-bribery controls, implemented as part of an ISO 37001-based ABMS, are effective. This training course aims to help you complete these tasks successfully and intends to reflect the importance of effective ABMS audits. Additionally, it aims to strengthen your knowledge and skills to plan and carry out ABMS audits in compliance with the guidelines for auditing management systems provided in ISO 19011 and the certification process described in ISO/IEC 17021-1.

The exercises, quizzes, and case studies provided are designed to help you practice the most important aspects of an ABMS audit: ISO 37001 requirements, auditing principles, tools and techniques used to obtain evidence, leading a team of auditors, conducting interviews with auditee, reviewing documented information, drafting nonconformity reports, and preparing the final audit report.

The successful completion of the training course is followed by an exam. If you pass the exam, you can apply for the "PECB Certified ISO 37001 Lead Auditor" credential. An [IAS accredited](#) and internationally recognized "PECB ISO 37001 Lead Auditor" certificate validates your professional capabilities and demonstrates your ability to audit an ABMS based on ISO 37001.



Who should attend?

The ISO 37001 Lead Auditor training course is intended for:

- Auditors seeking to perform and lead ABMS audits
- Managers or consultants seeking to master the ABMS audit process
- Individuals responsible for maintaining conformity to ISO 37001 requirements in an organization
- Technical experts seeking to prepare for an ABMS audit
- Expert advisors in anti-bribery management

Course agenda

Duration: 5 days

Day 1 | Introduction to the anti-bribery management system (ABMS) and ISO 37001

- Training course objectives and structure
- Standards and regulatory frameworks
- Certification process
- Fundamental concepts and principles of anti-bribery
- Anti-bribery management system

Day 2 | Audit principles and the preparation for and initiation of an audit

- Fundamental audit concepts and principles
- The impact of trends and technology in auditing
- Evidence-based auditing
- Risk-based auditing
- Initiation of the audit process
- Stage 1 audit

Day 3 | On-site audit activities

- Preparing for stage 2 audit
- Stage 2 audit
- Communication during the audit
- Audit procedures
- Creating audit test plans

Day 4 | Closing of the audit

- Drafting audit findings and nonconformity reports
- Audit documentation and quality review
- Closing of the audit
- Evaluation of action plans by the auditor
- Beyond the initial audit
- Managing an internal audit program
- Closing of the training course

Day 5 | Certification Exam



Learning objectives

This training course enables you to:

- Understand the main concepts of an ABMS and its processes based on ISO 37001
- Understand the auditor's role in planning, leading, and following up on an ABMS audit in accordance with ISO 19011
- Interpret the requirements of ISO 37001 in the context of an ABMS audit
- Plan an audit, lead a team of auditors, draft nonconformity reports, and follow up on an audit
- Act with due professional care during an audit

Examination

Duration: 3 hours

The PECB Certified ISO 37001 Lead Auditor exam fully meets the requirements of the PECB Examination and Certification Program (ECP). It covers the following competency domains:

Domain 1 | Fundamental principles and concepts of an anti-bribery management system

Domain 2 | Anti-bribery management system requirements

Domain 3 | Fundamental audit concepts and principles

Domain 4 | Preparing an ISO 37001 audit

Domain 5 | Conducting an ISO 37001 audit

Domain 6 | Closing an ISO 37001 audit

Domain 7 | Managing an ISO 37001 audit program

For specific information about exam type, languages available, and other details, please visit the [List of PECB Exams](#) and the [Examination Rules and Policies](#).



Certification

Upon the successful completion of the exam, you can apply for one of the credentials shown in the table below. You will receive a certificate once you fulfill all the requirements related to the selected credential.

For more information about the ISO 37001 certifications and the PECB certification process, please refer to the [Certification Rules and Policies](#).

| Credential | Exam | Professional experience | MS audit/assessment experience | Other requirements |
|---|---|--|--|---------------------------------|
| PECB Certified ISO 37001 Provisional Auditor | PECB Certified ISO 37001 Lead Auditor exam, or equivalent | None | None | Signing the PECB Code of Ethics |
| PECB Certified ISO 37001 Auditor | PECB Certified ISO 37001 Lead Auditor exam, or equivalent | Two years, of which one in anti-bribery management | At least 200 hours of audit activities | Signing the PECB Code of Ethics |
| PECB Certified ISO 37001 Lead Auditor | PECB Certified ISO 37001 Lead Auditor exam, or equivalent | Five years, of which two in anti-bribery management | At least 300 hours of audit activities | Signing the PECB Code of Ethics |
| PECB Certified ISO 37001 Senior Lead Auditor | PECB Certified ISO 37001 Lead Auditor exam, or equivalent | Ten years, of which seven in anti-bribery management | At least 1,000 hours of audit activities | Signing the PECB Code of Ethics |

Note: PECB certified individuals who possess both the Lead Implementer and Lead Auditor credentials are qualified for the respective **PECB Master Credential**, given they have taken 4 additional Foundation Exams related to this scheme. For more information about the Foundation Exams and the overall Master Requirements, please go to [PECB Master Credentials](#).

General information

- Certification fees are included in the exam price.
- PECB will provide training material of over 450 pages of information and practical examples.
- An attendance record worth 31 CPD (Continuing Professional Development) credits will be issued to participants who have attended the training course.
- In case of exam failure, the candidate can retake the exam once for free within 12 months following the initial exam date.